
Wednesday 5th November, 2015

Reference Number: 0008/15

Subject: Chi-X publishes its Explanatory Booklet on Warrants

Chi-X has today published the Explanatory Booklet on Warrants which can be accessed [here](#).

This is the booklet referenced in rules 3.1.2 and 3.1.8 of the Market Integrity Rules (Chi-X Australia Market).

These rules require a participant to provide a client with a copy of the Chi-X Explanatory Booklet prior to trading on behalf of that client in Chi-X Warrants. The booklet may be provided to a client in a digital form. A hard copy must be provided to clients that cannot receive information electronically.

If the Participant and client already have an agreement in respect of warrants that complies with the ASIC Market Integrity Rules (ASX) then Participants are not required to obtain an acknowledgement that the client has received or read and understood the booklet*.

Clients that are new to warrants must, prior to trading in warrants, acknowledge the features of a warrant and that they have read and understood an explanatory booklet on warrants issued by ASX or Chi-X. This acknowledgement must be in writing as part of the client agreement, usually referred to as a Warrant Agreement Form.

Please do not hesitate to [contact Chi-X Compliance](#) if you have any queries.

* See paragraphs 20-21 of ASIC report 453

Chi-X Compliance

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