



## Compliance Notice

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12 November 2021

Reference Number: 0002/21

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### **Subject: Reminder of Rule 3.1 Continuing Obligations - AOP Annual Notification**

Please be reminded that, as part of the continuing obligations under rule 3.1(c) of the Chi-X Operating Rules, participants are required to notify Chi-X of changes to the items listed under P3.1 of the Chi-X Operating Rules: Procedures.

Item 13 of the list applies to the AOP Annual Notification required by the Market Integrity Rules, if the Notification contains a material change in the information concerning the participant's business from that previously provided to Chi-X.

Notification to Chi-X is not required if the Notification does not include a material change.

Please contact [Chi-X Compliance](#) with any queries.