



Compliance Notice

Thursday 1 April 2021

Reference Number: 0001/21

Subject: Waiver granted under Rule 1.5 of the Operating Rules

Please be advised that in accordance with Rule 1.5 of the Operating Rules, a waiver has been granted from the requirement in Rule 14.21(c) for the counterparty of an OTC derivative used by the Product Issuer of a Quoted Fund to be a “deposit-taking” entity.

Further details can be found on the [Public Register of Waivers](#).

Please **contact Chi-X Compliance by email** with any queries.

Chi-X

Compliance

Phone: +61 2 8078 1718 | Email: au.compliance@chi-x.com | Web: chi-x.com.au | Disclaimer

