



Compliance Notice

Tuesday 15 September 2020

Reference Number: 0005/20

Subject: Changes to the Operating Rules (Rules) and Operating Rules: Procedures (Procedures) to accommodate trading in hybrid securities

Chi-X is amending the Rules to add debt securities as a class of financial product that may be traded on the Chi-X market. The amended Rules come into effect today, **Tuesday 15th September** and can be accessed [here](#).

Participants will be notified by [Technical Notice](#) and [Market Operations Notice](#) once the operational and technology changes necessary for “GO LIVE” trading of hybrid securities on Chi-X, have been finalised.

The rule changes also require some amendments to Sections 4 and 5 of the Procedures, including to specify that the type of debt securities that can be traded on the Chi-X market are hybrid securities that are traded on the ASX. The amended Procedures also come into effect today, **Tuesday 15th September 2020** and can be accessed [here](#).

Chi-X is also taking this opportunity to update the Rules and Procedures to remove references to “Responsible Executive” and so align the terminology in the Rules with that in the ASIC Market Integrity Rules (Securities Markets).

A version of the Rules containing the changes, highlighted in red text, can be accessed [here](#) and a version of the Procedures containing the changes, highlighted in red text, can be accessed [here](#).

Please [contact Chi-X Compliance by email](#) with any queries.