



Compliance Notice

Friday 21 August 2020

Reference Number: 0004/20

Subject: Changes to the Operating Rules to clarify the requirements, on amending the terms of issue, that are imposed on the issuers of Chi-X quoted funds

Participants are advised that Chi-X has today published [Consultation Paper 02/2020](#), on proposed minor amendments to the Operating Rules.

The amendments clarify that the only requirements imposed on the issuers of Chi-X quoted funds, in relation to the amendment of the terms of issue, are those contained in section 601GC of the Corporations Act (ie the requirements in rules 14.24(e) and 14.25 do not apply to these products).

The proposed amendments do not have any impact on the issuers of Chi-X quoted warrants and TraCRs, which continue to be subject to the requirements in these rules.

The consultation period runs for two weeks and submissions are invited on or before **Friday, 4 September 2020**.

It is currently intended that the proposed amendments will come into force on 12 October 2020, subject to final regulatory approvals.

Please [contact Chi-X Compliance by email](#) with any queries.

Chi-X

Compliance

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