



Compliance Notice

Friday 6 December 2019

Reference Number: 0010/19

Subject: Update on CP 1 of 2019 – The Transition in Compensation Arrangements and Required Disclosures to Retail Clients

Participants are advised that the transition to division 4 compensation arrangements foreshadowed in CP 1 of 2019, will not occur in the near future and that as a result there will be no changes to the disclosure Participants are required to provide to retail clients under Operating Rule 11.11.

Chi-X is grateful for the responses received to the consultation and will update Participants on any proposed changes to the Chi-X compensation arrangements in the New Year.