



## Compliance Notice

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Tuesday 12 November 2019

Reference Number: 0008/19

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**Subject: Consultation Paper 1 of 2019 - Update on the Participant Disclosures to Retail Clients after the Transition to SEGC Compensation Arrangements**

Among other things, Consultation Paper 01/2019 (the CP) sought feedback from participants on proposed changes to the disclosure to retail clients that is required under Operating Rule 11.11. The current content of the required disclosure is set out in the existing Procedure 11.11 and the proposed changes to this text to accommodate the transition to the SEGC compensation arrangements can now be accessed [here](#).

An updated version of the CP referencing this proposed change to Procedures 11.11, can be accessed [here](#).

Participants are reminded that any submissions on Consultation Paper 01/2019 are due by the close of business on this Friday 15 November.

Queries on providing a submission after this date and any other matters raised by the CP, should be addressed to [au.compliance@chi-x.com](mailto:au.compliance@chi-x.com).

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**Chi-X**

Compliance

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