



## Compliance Notice

Wednesday 22<sup>nd</sup> May 2019

Reference Number: 0003/19

### Subject: Participant Self-Assessment Questionnaire

Chi-X has today published a [Participant Self-Assessment Questionnaire](#) for participants to complete and return to Chi-X by no later than Friday 28th June, 2019.

The self-assessment will help Chi-X and participants to work together to assist Chi-X to develop a better understanding of participant business models and operations.

This year's self-assessment focuses on an understanding of participant standards for technology governance and operational risk management as they relates to order management systems and connectivity to the Chi-X market.

Completed responses should be sent to [au.compliance@chi-x.com](mailto:au.compliance@chi-x.com)

Any posted responses should be addressed to:

The Compliance Team  
Chi-X Australia  
Level 23, Governor Phillip Tower  
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Any queries should be addressed to:

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**Chi-X**

Compliance

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