



Compliance Notice

Wednesday 3rd October 2018

Reference Number: 0005/18

Subject: Updated Compliance and Operations Documents to Accommodate the Launch of TraCRs

Participants are advised that updated versions of the following documents, amended to accommodate the launch of the market for Transferable Custody Receipts (TraCRs), are now available on the Chi-X website:

- Investment Products Information Pack;
- Trade Cancellation Policies;
- Anomalous Order Thresholds.

The Information Pack and Trade Cancellation Policies are located in the [Compliance web page](#).

The [Anomalous Order Thresholds](#) may be access via a link at the foot of the Chi-X home page.

Participants are also reminded that the [Chi-X Complaints Policy](#), outlining how complaints can be made, may also be accessed via a link at the foot of the Chi-X home page.

Any queries on the new Rules and Procedures should be addressed to the [Chi-X Compliance team](#).

Chi-X

Compliance

Phone: +61 2 8078 1718 | Email: au.compliance@chi-x.com | Web: chi-x.com.au | Disclaimer

