



Compliance Notice

Thursday 6th April, 2017

Reference Number: 0002/17

Subject: Participant Self-Assessment Questionnaire

Chi-X has today published a [Participant Self-Assessment Questionnaire](#) for participants to complete and return to Chi-X by no later than Friday 12th May, 2017.

The self-assessment will help Chi-X and participants to work together to ensure Chi-X continues to meet its obligation to operate a fair, orderly and transparent market.

This year's self-assessment focuses on:

- (i) ongoing requirements for Chi-X participants;
- (ii) ongoing compliance with the Chi-X Operating Rule and Procedures;
- (iii) interacting with the Chi-X market;
- (iv) supervision and monitoring of the activity on the Chi-X market; and
- (v) general participant and market issues affecting Chi-X participants.

Completed responses should be sent to au.compliance@chi-x.com.

Any posted responses should be addressed to:

The Compliance team,
Chi-X Australia
Level 23, Governor Phillip Tower
1 Farrer Place
Sydney, NSW, 2000.

Any queries should be addressed to:

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Chi-X Compliance

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