## Trade Reporting Guide

**Trade Reporting Windows**

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<thead>
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<th>Time</th>
<th>Block Trade</th>
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<th>Out of Hours (Evening Trades)</th>
<th>Pre-Trading Hours (Morning Trades)</th>
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**B - Block Trades**
- Trade price is validated against the NBBO at the time of receipt, with an ASIC approved tolerance.
- For Equity Market Products:
  - Not Less than $1m for Tier 1
  - Not less than $500k for Tier 2
  - Not less than $200k for Tier 3
- Continuous Trading 10:00 - 16:12:59. Where a valid two-sided spread exists - for further details refer to Chi-X NBBO methodology.
- Reportable until 09:45 next trading day.
- Displayed as ‘P’ on market data
- Requires negotiated time to be entered
- **Delayed, before 13:00:**
  - If done before 13:00, reportable until 09:45 next trading day.
  - If received after 13:00, reportable until 13:00 next day.
- **Delayed, after 13:00:**
  - If received after 13:00, reportable until 13:00 next day.

**P - Large Portfolio Trade**
- Client to client.
- Total Not Less Than $5m AUD and ≥ 10 products with ≥ $200k value each.
- Additional stocks do not have a minimum value requirement.
- Continuous Trading 10:00 - 16:12:59.
- ≥ 10 products
- Requirements: 10:00 - 16:12:59
- Displayed as ‘T’ on market data
- **Delayed, before 13:00:**
  - If done before 13:00, reportable until 09:45 next trading day.
  - If received after 13:00, reportable until 13:00 next day.
- **Delayed, after 13:00:**
  - If received after 13:00, reportable until 13:00 next day.

**T - Large Principal Transaction**
- Principal to Client.
- Total Not Less Than $5m AUD and ≥ 10 products with ≥ $200k value each.
- Additional stocks do not have a minimum value requirement.
- Continuous Trading 10:00 - 16:12:59.
- ≥ 10 products
- Requirements: 10:00 - 16:12:59
- Displayed as ‘T’ on market data
- **Delayed, before 13:00:**
  - If done before 13:00, reportable until 09:45 next trading day.
  - If received after 13:00, reportable until 13:00 next day.
- **Delayed, after 13:00:**
  - If received after 13:00, reportable until 13:00 next day.

**X - Booking Purposes Trade**
- Booking purposes only (not publicly disseminated)
- Continuous Trading 10:00 - 16:12:59.
-≥ 10 products
- Requirements: 10:00 - 16:12:59
- Displayed as ‘T’ on market data

**S - NBBO**
- Continuous Trading 10:00 - 16:12:59.
-≥ 10 products
- Requirements: 10:00 - 16:12:59
- Displayed as ‘T’ on market data

**Post Trading Hours (Late Trades)**
- 1 – Genuine Book Squaring
- 2 – Hedging
- 3 – Narrowly missed execution in CSPA
- 4 – Error Rectification
- 5 – Put Through
- 07:00 – 09:45
- Continuous Trading 10:00 - 16:12:59.
-≥ 10 products
- Requirements: 10:00 - 16:12:59
- Displayed as ‘T’ on market data

**Out of Hours (Evening Trades)**
- 16:43-07:00 (next trading day).
- Continuous Trading 10:00 - 16:12:59.
-≥ 10 products
- Requirements: 10:00 - 16:12:59
- Displayed as ‘T’ on market data

**Pre-Trading Hours (Morning Trades)**
- 07:00 – 09:45
- Continuous Trading 10:00 - 16:12:59.
-≥ 10 products
- Requirements: 10:00 - 16:12:59
- Displayed as ‘T’ on market data

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